

Confirmation of Verification of Identity

Individual

Introduction by an FCA-Regulated Firm



IFA to Complete

1. Details of customer (see explanatory notes below)

Full forename(s) of customer

Surname

Current address

<input type="text"/>
<input type="text"/>
Postcode

Previous address if permanent residential address has changed in the last 3 months

<input type="text"/>
<input type="text"/>
Postcode

Date of birth

D	D	M	M	Y	Y	Y	Y
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Explanatory Notes

1. A separate confirmation must be completed for each customer (e.g. joint holders, trustee cases and joint life cases). Where a third party is involved, e.g. a payer of contributions who is different from the customer, the identity of that person must also be verified, and a confirmation provided.

2. This form cannot be used to verify the identity of any customer that falls into one of the following categories:

- those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
- those who have been subject to Simplified Due Diligence under the Money Laundering Regulations; or
- those whose identity has been verified using the source of funds as evidence.

3. This confirmation must carry an original signature, or an electronic equivalent.

2. Confirmation

I/We confirm that

- The information in Section 1 was obtained by me/us in relation to the customer;
- The evidence I/we have obtained to verify the identity of the customer (tick only one):

- Meets the standard evidence set out within the guidance for the UK Financial Sector issued by JMLSG; or
- Exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation)

- The underlying evidence taken in relation to the verification of the customer's identity will be available immediately on request
- The underlying evidence taken in relation to the verification of the customer's identity will be kept for five years beginning on the date of this certificate.

Signature

Name

Position

Date

D	D	M	M	Y	Y	Y	Y
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3. Details of Introducing Firm (or sole trader)

Full name of regulated firm (or sole trader)

FCA reference number