

SIPP Adviser Charges Agreement

Please use this form if you wish to arrange for DP Pensions Ltd to pay Adviser Charges on your behalf from your SIPP to your Financial Adviser. Please note that we can only pay Adviser Charges to a Financial Adviser who is regulated by the Financial Conduct Authority and who has completed our Financial Adviser Terms of Business. The Adviser Charges that you are instructing us to pay in accordance with this Agreement should relate to the advice and services provided to you in connection with your SIPP only.

The effective date of any change to an adviser charges agreement is the date that we receive this form.

It is important that you understand the level of adviser charges being paid to your Financial Adviser. Payment may be made directly from your SIPP bank account, from underlying investments or by a combination of the two, please speak to your Financial Adviser if you are unsure.

The terms and conditions relating to this Adviser Charges Agreement are important and you should take time to read them before you complete this Agreement. If you are uncertain about the nature of this Adviser Charges Agreement or how to complete it, you should discuss it with your Financial Adviser. Please complete this form accurately and fully.

Please contact us if you would like this document in an alternative format.

Once completed, please return this form to: **DP Pensions Ltd** at Bridewell House, Bridewell Lane, Tenterden, Kent TN30 6FA • Tel: 01580 762 555 • Fax: 01580 766 444

1 YOUR PERSONAL DETAILS

Title (circle or insert as appropriate)	<input type="radio"/> Mr	<input type="radio"/> Mrs	<input type="radio"/> Ms	<input type="radio"/> Miss	<input type="text"/> Other:
Forename(s)	<input type="text"/>				
Surname	<input type="text"/>				
National Insurance No.	<input type="text"/>			Date of birth	<input type="text"/>
Permanent Residential Address	<input type="text"/>				
	<input type="text"/>				
	<input type="text"/>			Postcode	<input type="text"/>
Daytime Phone Number	<input type="text"/>			Mobile Number	<input type="text"/>
Email Address	<input type="text"/>				
Pension Scheme Name	<input type="text"/>				
Member Account Number	<input type="text"/>				

2 FINANCIAL ADVISER DETAILS

Company/Firm Name	<input type="text"/>		
Individual adviser name	<input type="text"/>		
Address	<input type="text"/>		
	<input type="text"/>	Postcode	<input type="text"/>

3 INITIAL ADVISER CHARGES TO BE PAID DIRECTLY FROM THE SIPP

This is the remuneration you have agreed to pay to your Financial Adviser for the initial advice and services that your Financial Adviser has provided to you. We will pay the initial adviser charges from your SIPP bank account once the relevant contributions and/or transfers have been paid into your Plan. Please select one of the following options:

<input type="checkbox"/>	A percentage of each pension contribution and each transfer payment received as part of the SIPP set up	<input type="text"/>	%
<input type="checkbox"/>	A Percentage of future contributions, please select	<input type="text"/>	%
<input type="checkbox"/>	Regular contributions	<input type="checkbox"/>	Ad hoc contributions
<input type="checkbox"/>	A fixed amount of each pension contribution and each transfer payment received as part of the SIPP set up	<input type="text"/>	£
<input type="checkbox"/>	A fixed amount of future contributions, please select	<input type="text"/>	£
<input type="checkbox"/>	Regular contributions	<input type="checkbox"/>	Ad hoc contributions
<input type="checkbox"/>	Another basis agreed with your Financial Adviser (give details below)	<input type="text"/>	
		<input type="text"/>	

4 ONGOING ADVISER CHARGES TO BE PAID DIRECTLY FROM THE SIPP

Ongoing Adviser Charges are for the provision of ongoing advice and services provided to you by your financial adviser. Payment of Ongoing Adviser Charges can only be paid to your Financial Adviser if your adviser is going to provide you with ongoing advice and services. Please select one of the following options:

<input type="checkbox"/>	A percentage of the value of your SIPP per annum, paid on the anniversary of the date you set up your SIPP	<input type="text"/>	%
<input type="checkbox"/>	A fixed amount per annum, paid on the anniversary of the date you set up your SIPP	<input type="text"/>	£
<input type="checkbox"/>	Another basis agreed with your Financial Adviser (give details below)	<input type="text"/>	
		<input type="text"/>	

5 ADVISER CHARGES TO BE PAID FROM INVESTMENTS

Please confirm below details of any adviser charges that will be paid directly from the investments held in the SIPP by the investment managers / administrators:

Name of Investment 1

Initial Adviser Charge

Annual Adviser Charge

Name of Investment 2

Initial Adviser Charge

Annual Adviser Charge

Name of Investment 3

Initial Adviser Charge

Annual Adviser Charge

Another basis agreed with your Financial Adviser (give details below)

6 MEMBER DECLARATION

1. I authorise DP Pensions Ltd to pay the Adviser Charges to my Financial Adviser as detailed in Sections 3 and 4 of this form.
2. In doing so, DP Pensions Ltd is making a payment at my direction and on my behalf.
3. I agree that it is my responsibility to inform DP Pensions Ltd in writing if I wish these payments to stop or if I change or remove my Financial Adviser.
4. I have received and understand the information provided by my adviser regarding the effect of the adviser charges detailed in this form.
5. If the financial adviser named in Section 2 is not currently appointed as your financial adviser on your SIPP, please tick the box if you wish for us to update our records to show this new financial adviser and for them to have full authority and servicing rights.

Member's signature

Date

7 ADVISER DECLARATION

1. I confirm my agreement to the Adviser Charges set out above.
2. I confirm that I have provided the client with sufficient information to enable them to understand the effect of the adviser charges detailed in this form.

Adviser's Signature

Adviser Name

Company/Firm Name

FCA No

Network Name (if appropriate)

FCA No

Date

DP Pensions Ltd is registered in England at Bridewell House, Bridewell Lane, Tenterden, Kent TN30 6FA. Registered No. 4622475. DP Pensions Ltd is authorised and regulated by the Financial Conduct Authority (463171).

D A Phillips & Co Ltd is registered in England at Bridewell House, Bridewell Lane, Tenterden, Kent TN30 6FA. Registered No 2120249.

Phone: 01580 762 555

Fax: 01580 766 444

Email: enquiries@dapco.co.uk

Website: www.dapco.co.uk

Our SIPP's are operated and administered by DP Pensions Ltd. The trustee of the schemes is D A Phillips & Co Ltd.

March 2023